

## **ORIGINAL**



1 BEFURE THE ARIZONA CORPORATION COMMISSION [] 2 WILLIAM A.MUNDELL 7001 AUG 29 P 3: 15 **CHAIRMAN** 3 JIM IRVIN COMMISSIONER AZ CORP COMMISSION 4 MARC SPITZER **COMMISSIONER** 5 IN THE MATTER OF: DOCKET NO. S-03463A-01-0000 6 **DAVID NUTTER** Arizona Corporation Commission 7 CRD #2346911 DOCKETED 5353 N. 16<sup>th</sup> Street, #260 8 Phoenix, Arizona 85016 AUG 2 9 2001 NORTH AMERICAN INSURANCE SERVICES L.L.C. **DOCKETED BY** 5353 N. 16<sup>th</sup> Street, #260 10 Phoenix, Arizona 85016, 11 Respondents. 12 PROCEDURAL ORDER 13 BY THE COMMISSION: 14 15 On August 14, 2001, the Securities Division ("Division") of the Arizona Corporation 16 Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order to 17 Cease and Desist, for Restitution, for Administrative Penalties, and for Other Affirmative Action 18 ("Notice") against David Nutter and North American Insurance Services L.L.C. (collectively the 19 "Respondents") in which the Division alleged that Respondents have engaged in acts, practices, and 20 transactions that constitute violations of the Arizona Securities Act ("Act"). 21 On August 22, 2001 Respondents filed a request for a hearing. 22 23 Accordingly, a pre-hearing conference should be scheduled to address the issues raised in the 24 Notice, the presentation of evidence and the scheduling of discovery timelines and a hearing. 25 26 27 28

1 IT IS THEREFORE ORDERED that a pre-hearing conference shall be held on September 17. 2 3 2001, at 10:00 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona. 4 5 day of August, 2001. 6 7 8 9 PHILIP J. DION III ADMINISTRATIVE LAW JUDGE 10 Copies of the foregoing mailed/delivered 11 this 29 day of August, 2001. 12 Richard G. Himelrick TIFFANY & BOSCO, P.A. 1850 North Central Avenue, 5<sup>th</sup> Floor 13 Phoenix, Arizona 85004 14 Attorney for Respondents 15 Mark Sendrow, Director Securities Division 16 Arizona Corporation Commission 1200 W. Washington 17 Phoenix, AZ 85007 18 Moira McCarthy Assistant Attorney General 19 ARIZONA ATTÓRNEY GENERAL'S OFFICE 1275 West Washington Street 20 Phoenix, Arizona 85007 21 ARIZONA REPORTING SERVICE, INC. 2627 N. Third Street, Suite Three 22 Phoenix, Arizona 85004-1104 23 By: 24 Secretary to Philip J. Dion, III 25

2

26

27

28